1-12-07

Attorney Docket No. 0412

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Hajime IMAZEKI

Serial No.

10/806,819

Filed

March 22, 2004

For

TRANSMISSION STATE

INDICATING SYSTEM...

Art Unit

2616

Customer No.:

01933

Confirm No. :

3707

Examiner

: CHIN, Wellington

INFORMATION DISCLOSURE STATEMENT WITH STATEMENT UNDER 37 CFR 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Submitted herewith are the following:

- (1) Copy of a Japanese Office Action dated December 5, 2006 (and English translation thereof) issued in a counterpart Japanese application;
- (2) Copies of cited publications; and
- (3) Form PTO/SB/08A.

An English translation of said Japanese Office Action is provided, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609.04(a) III).

An English language Abstract is provided for the cited WO document.

Express Mail Mailing Label No.: EV 922 753 126 US

Date of Deposit: January 11, 2007

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, with sufficient postage, and is addressed to

The Commissioner for Patents

P.O. Box 1450,

Algxandria, VA 22313-1450

Diane J. Megsi

USP 7,013,243 is a counterpart of WO 01/095536. USP 7,013,243 is listed on the attached Form PTO/SB/08A.

STATEMENT UNDER 37 CFR 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of <u>December 5, 2006</u>. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted

Leonard Holtz, Esq Reg. No. 22,974

Encls.

Dated: January 11, 2007

FRISHAUF HOLTZ GOODMAN & CHICK, P.C. 220 FIFTH AVENUE
NEW YORK, N.Y. 10001-7708
Tel. No. (212) 319-4900
Fax No. (212) 319-5101
LH:djh

PTO/SB/08A (08-00

Please type a plus sign (+) inside this tox> U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE												
Substitute for Form 1449A/PTO						plicatio	n Number	10/806,819				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Fili	ing Date	е	March 22, 2004				
					Fir	First Named Inventor			Hajime IMAZEKI			
					Gr	Group Art Unit			2616			
						Examiner Name			CHIN, Wellington			
Sheet	1	of 1			Att	Attorney Docket Number			04191/LH			
U.S. PATENT DOCUMENTS												
Exam. Inits*	Cite No' Document Number				Kind Code ²	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion			
		7,013,243				B2	KOIZUMI et al	-	03-14-2006			
		·										
FOREIGN PATENT DOCUMENTS												
Exam Inits	Cite No¹	Offc ³	Docume	ent Number⁴		Kind Code⁵	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion	Τ°	
		WO 01/095536		36		A1	KOIZUMI, et al		12-13-2001		×	
					:							
x Includes English language Abstract.												
Examiner Date Signature Considered												
EVAMINED: Initial if reference considered whether or not citation is in conformance with MPED 600. Draw line through citation if not in conformance												

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: January 11, 2007

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.